

01/22/02

J1057 U.S. PTO

01-25-02

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	UPA-008
First Named Inventor	Whitehead
Title	METHODS FOR DETERMINING STEROID RESPONSIVENESS

**APPLICATION ELEMENTS**

ADDRESS TO: **Box Patent Application**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

1. ☐ Fee Transmittal Form **NO FEE IS BEING PAID**

2. ☐ Small Entity Status  
☐ Applicant claims small entity status  
☐ Status established in prior application and is still proper and desired

3. ☒ Specification and Drawings [Total Pages 51]

- Written Description - (32 pages)
- Claims - (10 pages)
- Abstract - (1 page)
- Sheets of Drawings - (8 sheets)

☐ Formal  
☒ Informal

4. ☐ Oath or Declaration [Total Pages ]

- a. ☐ Newly executed (original)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet7. ☒ Nucleotide and/or Amino Acid Sequence Submission

- ☒ Computer Readable Form (CRF)  
☒ Paper Copy (identical to computer copy)  
☐ CD (2 copies) (identical to computer copy)  
☒ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations

11. ☐ Preliminary Amendment  
☐ Drawings [Total Sheets ]

12. ☒ Return Receipt Postcard (specifically itemized)13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)15. ☐ CD in duplicate for large table or computer program16. ☐ Other:

17. ☐ If a **CONTINUING APPLICATION**: Amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a

☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , the entire disclosure of which is incorporated by reference herein.--

**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: . Group/Art Unit: .

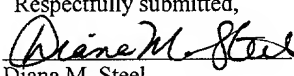
18. ☐ Priority - 35 U.S.C. 119

- ☐ Priority of application Serial No. filed on in is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. / on .  
☐ The certified copy will follow.

**CORRESPONDENCE ADDRESS**

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**SIGNATURE BLOCK**

Respectfully submitted,  
  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Whitehead

SERIAL NO.: GROUP NO.:

FILING DATE: January 18, 2002 EXAMINER:

TITLE: METHODS FOR DETERMINING STEROID  
RESPONSIVENESS

Box PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF PAPER COPY AND/OR COMPUTER READABLE COPY**  
**OF SEQUENCE LISTING FOR INVENTION**  
**CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE**

Sir:

1. ☐ This replies to the Patent Office Letter dated

Note: If these papers are filed before the office letter issues adequate identification of the original papers should be made, e.g., in addition to the name of the inventor and title of invention, the filing date based on the "Express Mail" procedure, the serial number from the return post card or the attorney's docket number added.

☐ A copy of the Patent Office Letter is enclosed.

2. Submitted herewith is/are  
(check each item as applicable)

- A. ☒ a paper copy of the Sequence Listing for this application with each sequence assigned a separate identifier.
- B. ☒ a copy, in computer readable form, of the Sequence Listing for this application.

### STATEMENT

3. I hereby state that:

(complete applicable items A, B and/or C)

- A. ☒ the content of the paper and computer readable copies submitted herewith are the same.
- B. ☐ the content of the computer readable copy submitted herewith is the same as the Sequence Listing appearing on pages \_\_ to \_\_ of the original specification as filed.
- C. ☐ this submission includes no new matter.

Respectfully submitted,

Date: January 22, 2002  
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